



09-16-04

Docket No. 7163/SYNX/SYNX/JB

31/FH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop 7163
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventors: Michael R. Rice, Robert B. Lowrance, Martin R. Elliott, Jeffrey C. Hudgens and Eric A. Enghardt
Title: METHODS AND APPARATUS FOR TRANSPORTING SUBSTRATE CARRIERS
Serial No.: 10/764,982
Filed: January 26, 2004
Art Unit: 3652
Examiner: Not yet assigned

Transmitted herewith is:

- ☒ PTO Form 1449 and Supplemental Information Disclosure Statement with copies of references cited therein.
☒ Transmittal in duplicate.
☒ Return Postcards.

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
TOTAL FEES					\$0.00

☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:

Customer # 41161
Dugan & Dugan, PC
55 South Broadway
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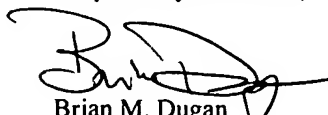
I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EV403025872US

Date of Deposit: September 15, 2004

Signature: 

Respectfully submitted,


Brian M. Dugan
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Registration No. 41,720
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Express Mail Label No. EV403025872US

Docket No.:
7163/SYNX/SYNX/JB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice et al.
Serial No. : 10/764,982
Filed : January 26, 2004
For : METHODS AND APPARATUS FOR TRANSPORTING
SUBSTRATE CARRIERS
Art Unit : 3652
Examiner : Not yet assigned
Customer No. : 41161

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

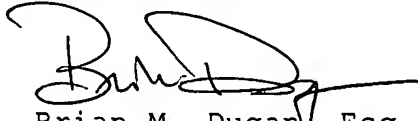
In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

U.S. Patent No. 5,762,544, Zuniga et al.
Foreign Art Reference No. EP 0 987 750 A1 (EPO)
Foreign Art Reference No. EP 1 134 641 A1 (EPO)
Foreign Art Reference No. WO 99/64207 (WIPO)
Foreign Art Reference No. WO 02/19392 A1 (WIPO)

These references are also listed on the accompanying
Information Disclosure Statement (Form PTO-1449).

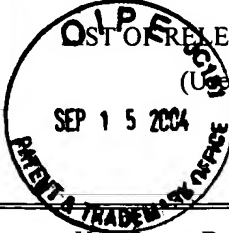
Consideration of the foregoing in relation to this patent
application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan", with a stylized flourish extending from the end.

Brian M. Dugan Esq.
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Dated: September 15, 2004
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office <div style="display: flex; align-items: center; justify-content: center;">  <div style="margin-left: 20px;"> OFFICE OF RELEVANT ART CITED BY APPLICANT (Use several sheets if necessary) </div> </div>				Docket No.: 7163/SYNX/SYNX/JB		Serial No.: 10/764,982		
				Applicants: Michael R. Rice, et al				
				Filing Date: January 26, 2004		Group: 3652		
U.S. Patent Documents								
*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-1	5,762,544	06/09/98	Zuniga et al.	451	285		
	US-2							
	US-3							
	US-4							
	US-5							
	US-6							
	US-7							
	US-8							
	US-9							
	US-10							
	US-11							
Foreign Patent Documents								
							Translation	
		Document Number	Date	Country	Class	Subclass	Yes	No
	F-1	EP 0 987 750 A1	03/22/00	EPO			X	
	F-2	EP 1 134 641 A1	09/19/01	EPO			X	
	F-3	WO 99/64207	12/16/99	WIPO			X	
	F-4	WO 02/19392 A1	03/07/02	WIPO			X	
	F-5							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	OT-1							
	OT-2							
	OT-3							
Examiner			Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								